

Hong Kong Business Angel Network Limited Membership Application (Corporate / Individual Member)

The completed application form will be submitted to the Membership Committee for approval. Once the HKBAN Secretariat Office got the result, we shall notify the applicant by email.

<p>- Individual Membership</p> <p>Any individual shall be eligible to apply for admission as Individual Member, if the applicant has directly or indirectly through any corporation(s), trust fund(s) or any other vehicle(s) of which he controls,</p> <p>a) made angel investment(s) exceeding HK\$100,000 within the 36-month period prior to his application, or</p> <p>b) has a net worth of over HK\$8,000,000 or annual income of HK\$1,600,000 or a house annual income of HK\$2,400,000 within the two years prior to the application for admission.</p> <p>Membership fee: HK\$2,000 per year</p> <ul style="list-style-type: none"> • Membership year from 1 JUL to 30 JUN • Members joining <u>before 1 JAN</u> (i.e. before mid-year) will pay full year membership fee. • Members joining <u>from 1 JAN to 30 JUN</u> will pay to a pro-rata amount calculated on a monthly basis (that is HK\$167 per remaining month for Individual Members) plus an advanced amount for one year (e.g. HK\$2,668 for Individual Members if a member would join on 1 MAR) 	<p>- Corporate Membership</p> <p>Any company or corporation shall be eligible to apply for admission as Corporate Member if the applicant or its majority or major shareholder has directly or indirectly through any other corporation(s), trust fund(s), or any other vehicle(s) of which the applicant or the majority shareholder controls,</p> <p>a) made angel investment(s) exceeding HK\$100,000 within the 36-month period prior to his application, or</p> <p>b) has a net worth of over HK\$10,000,000.</p> <p style="text-align: center;">A maximum of three representatives on an annual basis can be nominated by each Corporate Member.</p> <p>Membership fee: HK\$4,500 per year</p> <ul style="list-style-type: none"> • Membership year from 1 JUL to 30 JUN • Members joining <u>before 1 JAN</u> (i.e. before mid-year) will pay full year membership fee. • Members joining <u>from 1 JAN to 30 JUN</u> will pay to a pro-rata amount calculated on a monthly basis (that is HK\$375 per remaining month for Corporate Members) plus an advanced amount for one year (e.g. HK\$6,000 for Corporate Members if a member would join on 1 MAR)
--	---

I am / We are now applying to be: Individual Member Corporate Member

A. Name: (Mr/Mrs/Ms/Dr/Prof) _____

B. Occupation Employed Part-time Retired [If you tick "Retired", you may skip C, D, E] Others, pls specify: _____

C. Company name: _____

D. Company position: _____

E. Company Website: _____ F. Phone: (office hour) _____

G. Mobile: _____ H. Email: _____ I. Fax: _____

J. Contact postal address: _____

K. Please tick one as appropriate the most representative box about your background for our statistics count on "membership background":

<input type="checkbox"/> a. VC background individual	<input type="checkbox"/> d. Academia	<input type="checkbox"/> g. Corporate Ventures
<input type="checkbox"/> b. Senior management of private company	<input type="checkbox"/> e. Professional	<input type="checkbox"/> h. Others (please specify)
<input type="checkbox"/> c. Senior management of publicly listed company	<input type="checkbox"/> f. Start-up fund representative	_____

1. I am / We are interested to invest in companies in the following area(s)

<input type="checkbox"/> Information & Communication Technology <input type="checkbox"/> Electronics / IC Design <input type="checkbox"/> Precision Engineering and photonics <input type="checkbox"/> Biotechnology in general, or specifically in <input type="checkbox"/> Molecular tools and reagent <input type="checkbox"/> Regenerative medicine <input type="checkbox"/> Chinese medicine <input type="checkbox"/> Bio-medical devices and diagnostics <input type="checkbox"/> Therapeutics <input type="checkbox"/> Others (please specify): _____ _____ _____	<input type="checkbox"/> Green Technology <input type="checkbox"/> Design <input type="checkbox"/> Product Design <input type="checkbox"/> Fashion Design <input type="checkbox"/> Branding / Packing <input type="checkbox"/> Jewelry Design <input type="checkbox"/> Visual and Media Graphics Design <input type="checkbox"/> Architecture / Interior Design <input type="checkbox"/> Others <input type="checkbox"/> Other (non-tech related such as retail, wholesale, food & beverage etc. Please specify): _____ _____ _____
---	--

2. My work experience is related to

<input type="checkbox"/> Information & Communication Technology <input type="checkbox"/> Electronics / IC Design <input type="checkbox"/> Precision Engineering and photonics <input type="checkbox"/> Biotechnology in general, or specifically in <input type="checkbox"/> Molecular tools and reagent <input type="checkbox"/> Regenerative medicine <input type="checkbox"/> Chinese medicine <input type="checkbox"/> Bio-medical devices and diagnostics <input type="checkbox"/> Therapeutics <input type="checkbox"/> Others (please specify): _____ _____ _____	<input type="checkbox"/> Green Technology <input type="checkbox"/> Design <input type="checkbox"/> Product Design <input type="checkbox"/> Fashion Design <input type="checkbox"/> Branding / Packing <input type="checkbox"/> Jewelry Design <input type="checkbox"/> Visual and Media Graphics Design <input type="checkbox"/> Architecture / Interior Design <input type="checkbox"/> Others <input type="checkbox"/> Other (non-tech related such as retail, wholesale, food & beverage etc. Please specify): _____ _____ _____
---	--

3. I / We shall be investing

as an individual investors on a personal basis
 via a company, and the company name
 is _____

4. Investment size of each of my / our potential investment

≤ HK\$500,000
 HK\$500,001– HK\$1,000,000
 HK\$1,000,001 – HK\$2,000,000
 HK\$2,000,001 – HK\$5,000,000
 >HK\$5,000,000

5. I am / We are prepared to:

- co-invest with other business angel investors / investment companies

Yes No

- be the lead-investor to conduct due diligence and liaise with
 other interested co-investor(s) of the same start-ups

6. I am / We are ready to invest within

the coming 6 months
 The coming 7 to 12 months
 The coming 13-24 months

7. I / We classify myself / ourselves as a

Hands-on investor
 Advisory investor
 Silent investor

8. In the past 2 years

I / We have not invested in any company as a business angel investor
 I / We have invested in companies as a business angel investor, and the average
 investment size was HK\$ _____

9. If there are educational / experience sharing sessions on business angel investment

I / We shall participate
 I / We shall not participate

10.* I am / We are introduced by Mr./Mrs./Ms./Dr./Prof./Name of Organization who is a

Name: _____

Whose email is _____ Whose phone no. is _____

I do not know any HKBAN members, but I am prepared to meet a HKBAN Director
 in a HKBAN event and introduce myself

** This is a mandatory field, please check as appropriate*

<p>11. For Individual Membership:</p> <p>I confirm that (a) I have directly, or indirectly through other corporation(s), trust fund(s) or any other vehicle(s) which I control, made angel investment(s) exceeding HK\$100,000 within the 36-month period prior to this application, or (b) have a net worth of over HK\$8,000,000 or annual income of HK\$1,600,000 or a house annual income of HK\$2,400,000 within the two years prior to the application.</p>	<p>For Corporate Membership:</p> <p>Our company confirms that (a) we have directly, or indirectly through other corporation(s), trust fund(s) or any other vehicle(s) which we control, made angel investment(s) exceeding HK\$100,000 within the 36-month period prior to this application, or (b) it has a net worth of over HK\$10,000,000.</p>
---	--

12. I / We will hold in strict confidence on all information received from potential investees, including not to distribute such information to other parties without the written agreement from the potential investee, and will make no use of such information other than for the sole purpose of evaluating the possible investment by me / our company to the potential investees.

13. I / We further understand that investment decisions to any company are fully and solely based on my discussion with the investees and due diligence made by myself / ourselves. HKBAN as a facilitator, including its officers, representatives, employees or agents are not responsible for the accuracy or completeness of any information provided by the investees, and accepts no liability for my / our investment decisions.
14. All information (if any) given by HKBAN relating to the investees is based on information provided by them and they are solely responsible for the information and materials given. I / We would contact the investees directly if I have any concerns or queries.
15. The Personal Data (Privacy) Ordinance – Personal Information Collection (Customer) Statement:
- a. In compliance with the Personal Data (Privacy) Ordinance (“the Ordinance”), the HKBAN would like to inform you of the following:

The purposes for which data collected from you are as follows:

- (i) to inform you about and ask you to join the Investment Matching Gatherings (IMGs) or similar investment matching platforms where HKBAN is the organizer or one of the organizers of such platforms.

Please tick this box to indicate you opt-in to receive such information

- (ii) to inform potential investees whom you have shown an interest so that they may contact you directly to follow-up. In informing these potential investees, only your name, your title, the organization that you belong to (as applicable), your email address and contact phone number (office and / or mobile) that are indicated in this application form, will be released.

Please tick this box to indicate you opt-in and agree HKBAN to do so

- (iii) to inform other potential angel investors who are interested to co-invest in the same potential investees that you have indicated an interest to co-invest. The information release will be the same as in 15a. (ii).

Please tick this box to indicate you opt-in and agree HKBAN to do so

- (iv) to inform you on angel, VC, investment and entrepreneurial related events including but not limited to seminars / conferences etc. where HKBAN is the organizer, co-organizer, sponsor, supporting organization or partner to that event.

Please tick this box to indicate you opt-in to receive such information

- b. Data held by the HKBAN relating to you will be kept confidential but the HKBAN may provide such information for the purposes set out in paragraph 15a. to any person to whom the HKBAN is under an obligation to make disclosure under the requirements of any law binding on the HKBAN or under and for the purposes of any guidelines issued by regulatory or other authorities with which the HKBAN is expected to comply.
- c. Under and in accordance with the terms of the Ordinance, you have the right:-
- (i) to check whether the HKBAN holds data about you and of access to such data;
- (ii) to require the HKBAN to correct any data relating to you which is inaccurate;
- (iii) to ascertain the HKBAN’s policies and practices in relation to data and to be informed of the kind of personal data held by HKBAN.
- d. You may request for access to data or correction of data or for information regarding the HKBAN to be addressed is as follows:

To : Hong Kong Business Angel Network Limited
Room 201, 2/F, Enterprise Place, No. 5 Science Park West Avenue
Hong Kong Science Park, Shatin, Hong Kong
Attn: HKBAN Secretariat Office

- e. Opt-out clause: you may select to stop receiving further and ALL information from HKBAN by informing the HKBAN Secretariat in writing. Such instruction will be acknowledged in writing to signify receipt of your instruction and will take effect within 7 working days.

f. Nothing in this Statement shall limit your rights under the Personal Data (Privacy) Ordinance.

16. For Corporate Membership only: (for individual membership, please skip this item 16.)

a. Nominated Corporate Representatives (up to 3)

Company Name: _____

1. Name of representative: _____

Title: _____ Email: _____

Phone (office) _____ Phone (Mobile) _____

Postal Address: _____

2. Name of representative: _____

Title: _____ Email: _____

Phone (office) _____ Phone (Mobile) _____

Postal Address: _____

3. Name of representative: _____

Title: _____ Email: _____

Phone (office) _____ Phone (Mobile) _____

Postal Address: _____

b. Email / HKBAN matching and other information should be addressed to 1, 2, or 3 above (please specify below):

17. I / We confirm that I / we have read the Memorandum and Articles of Association of HKBAN and we will abide by their terms, the contents of which may be subject to amendment from time to time. I / We further declare that all information given in this application is true and correct. In submitting this form, I / we agree to the clauses 11, 12, 13, 14 and 15 above.

18. I / We agreed to publish information that I / we have indicated in points 1,3,4,5 and 7 of this form as part of our membership information (including name, email address and phone number) for sharing with HKBAN members (password protected) in the HKBAN website. Should HKBAN decides to do so.

[Note: please tick this box if you do not want to publish such information as stated in points 1, 3, 4, 5 and 7 above in the HKBAN website]

Annual membership: 1 Jul to 30 Jun	<ul style="list-style-type: none"> - Members joining before 1 JAN (i.e. before mid-year) will pay full year membership fee up to 30 Jun of next year. - Members joining from 1 JAN to 30 JUN will pay to a pro-rata amount calculated on a monthly basis up to 30 Jun (that is HK\$167 per remaining month for Individual Members or HK\$375 per remaining month for Corporate Members) plus an advanced amount for one year (e.g. HK\$2,668 for Individual Member or HK\$6,000 for Corporate Member if a member would join on 1 MAR)
- Individual Membership: HK\$2,000/ year	
- Corporate Membership: HK\$4,500/ year (nominated up to 3 for corporate representatives)	

I / We have signed and am/ are returning this application form to you by postal mail (**sending to Hong Kong Business Angel Network Limited, Room 201, 2/F., Enterprise Place, No. 5 Science Park West Avenue, Hong Kong Science Park, Shatin, N.T., Hong Kong. Attn: HKBAN Secretariat Office**), attaching a cheque of HK\$_____ payable to: **Hong Kong Business Angel Network Limited** for our annual membership up to **30 June 201_____**. I/ We understand that HKBAN has the sole and final discretion in accepting or rejecting our application for Individual / Corporate Membership, and the cheque will be returned to us should our application is rejected.

My / Our company authorized signature : _____

My / Our company name in print : _____
[full name of representative: _____]

My/ Our Cheque : Issue date: _____ Cheque No: _____
Bank: _____

Date : _____

For Official Use only

<input type="checkbox"/> Accepted as:	<input type="checkbox"/> Corp Mbr	<input type="checkbox"/> Individual Mbr	<input type="checkbox"/> Received Date: _____
<input type="checkbox"/> Unaccepted (Reasons)	_____		<input type="checkbox"/> Approval Date: _____
<input type="checkbox"/> Membership Expiry:	_____		<input type="checkbox"/> Signed by: _____

Remark: _____
